IFW

313/

Mail Stop Amendment	Application Number	09/930,582
PE	Filing Date	August 15, 2001
O` TRANSMITTAL	Confirmation Number	3302
SEP 0 1 2006 FORM (To be used in all correspondence after initial filing)	Inventor(s)	DENYER et al.
(To be used for all correspondence after initial filing)	Group Art Unit	3731
TRABEMAN	Examiner	Mendoza, M.
Total Number of Pages in This Submission: 7	Attorney Docket No.	00-41 C1 RCE 1

ENCLOSURES (check all that apply) Fee Transmittal Form (submit in duplicate) Assignment Papers Issue fee Transmittal Form PTC (85(b) + (c) and cover sheet										
Fee Transmittal Form Assignment Papers Issue fee Transmittal Form PTC										
1 Assignment Papers	ENCLOSURES (check all that apply)									
(Submitted and opposite) —— (Submitted and opposite and o)L-									
Fee Attached Cover Sheet After Allowance Communication	to-									
Check No.: Drawing Change Authorization Request and Amended Figure(s) Appeal Communication to Boar Appeals and Interferences										
Amendment / Response Request for Return of PTO-1449 Appeal Communication to Ground (Appeal Notice, Brief, Reply Brief)	p									
After Final Petition to the Commissioner Proprietary Information										
Affidavits / Declaration(s) To Convert a Provisional Application Status Request Letter										
Extension of Time Request Power of Attorney, Revocation Small Entity Statement Change of Address										
Information Disclosure Statement Terminal Disclaimer(s) Request for Refund										
Form PTO-1449 Certified Copy of Priority Document(s) Response to Missing Parts / Incomplete Application										
Cited References Request for Corrected Filing Search report Response to File Corrected Application Papers										
Drawing(s): Number of Pages Number of Figs and cover sheet Formal Informal										
Current Due Date: None										
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT										
Individual and Company Timothy A. Nathan, Reg. No. 44,256 RESPIRANICS, Inc., 1010 Murry Ridge Lane, Murrysville PA, 15668										
Signature Signature										
Date August 29, 2006										
<u></u>										
CERTIFICATE OF MAILING										
I hereby certify that this correspondence and all enclosures are being deposited with the United States Postal Service as first c mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450 on this date: August 29, 2006.	ass									
Typed Name Timothy A. Nathan, Reg. No. 44,256										
Signature Date August 29, 2006										

IBE	Application Number	09/930,582
FEE TRANSMITTAL (Affective 12/08/2004)	Filing Date	August 15, 2001
/ Affective 12/08/2004)	First Named Inventor	DENYER et al.
SEP 0 1 2006	Confirmation Number	3302
∖₂ ಏ/	Group Art Unit	3731
"Exercess Mail" Later No. N/A	Examiner's Name	Mendoza, M.
TOTALABOUT OF PAYMENT \$ 180.00	Attorney Docket No.	00-41 C1 RCE 1

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. APPLICATION SIZE FEE					
Deposit	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 for each additional 50 sheets or fraction thereof. See 35 U.S. C. § 41(a)(1)(G)					
Number	and 37 C.F	.R. § 1.	16(s).		·	
Deposit Account Necessit Respironics, Inc.	<u>Total</u> Sheets	Ext She		Number 50 fra	of each additional Fee(\$) ction thereof	Fee Paid(\$)
Name		00 =	/50 =		(round up to a whole number) X 250 =	: <u>0.00</u>
Charge any additional fee required under 37 Charge the Issue Fee set forth in 37 C.F.R. § 1.18	4. ADDITI	ONAL F	EES		For Deposite the s	
C.F.R. §§ 1.16, 1.17 1.19 and 1.20	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
2. Payment Enclosed:	1051	130	2051	65	Surcharge - late filing fee or declaration	
Check (Check No)	1811	100	1811	100	Certificate of Correction	
FEE CALCULATION (fees effective 12/08/2004)	1812	2,520	1812	2,520	For filing a request for reexamination	
1. BASIC FILING, SEARCH, AND EXAM FEES	576	25	576	25	Additional filing receipt, duplicate or corrected due to applicant error	
(Large Entity Only)	1251	120	2251	60	Extension for response within first month	
Appln. Filing Search Exam Type Fee(\$) Fee(\$) Fee(\$) Fees Paid	1252	450	2252	225	Extension for response within second month	
Utility 300 500 200	1253	1,020	2253	510	Extension for response within third month	
Design 200 100 130	1254	1,590	2254	795	Extension for response within fourth month	
Plant 200 300 160	1255	2,160	2255	1,080	Extension for response within fifth month	
Reissue 300 500 600	1401	500	2401	250	Notice of Appeal	
Provisional 200 0 0	1402	500	2402	250	Filing a brief in support of an appeal	
	1403	1,000	2403	500	Request for oral hearing	
SUBTOTAL (1) \$ 0.00	1452	500	2452	250	Petition to revive unavoidably abandoned application	
2. CLAIMS Extra Fee from Claims Below Fee Paid	1453	1,500	2453	750	Petition to revive unintentionally abandoned application	
Total Claims * x 50 =	1501	1,400	2501	700	Utility issue fee (or reissue)	
Ind. Claims * x 200 =	1502	800	2502	400	Design issue fee	
Multiple Dependent Claims add 360 =	1814	130	2814	65	Statutory Disclaimer	
* Enter Highest Number Previous Paid For	1460	130	1460	130	Petitions to the Director	
Large Entity Small Entity Fee Description Fee (\$)	1807	50	1807	50	Petitions related to provisional applications	
1202 50 2202 25 Claims in excess of 20	1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1201 200 2201 100 Independent claims in excess of 3	8021	40	8021	40	Recording each patent assignment per property (times number of property)	
1203 360 2203 180 Multiple dependent claim	1801	790	2801	395	Request for Continued Examination	
1204 200 2204 100 Reissue independent claims over original patent	1504	300	1504	300	Publication Fee	
1205 50 2205 25 Reissue claims in excess of 20	Other Fee (s	pecify)_				
and over original patent					_	
SUBTOTAL (2) \$ 0.00					SUBTOTAL (3)	\$ 180.00

Y		<u> </u>				
Timo	othy A. Nathan	11			Reg. Number	44,256
	July 1	July	Date	August 29, 2006	Deposit Account Number	50-0558
	20/1/	free	Date	August 29, 2006		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CONTROLLING DRUG DELIVERY APPARATUS

re PATENT APPLICATION of

Inventor

DENYER et al.

Appln. No.

09/930,582

Conf. No.:

3302

Filed:

August 15, 2001

Title:

Group Art Unit

3731

Examiner

Mendoza, M.

Docket No.

00-41 C1 RCE 1

August 29, 2006

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Alexandria, VA 22313-1450

Sir:

Attached is a Form PTO-1449 listing the enclosed documents.

Should a final Rejection or Notice of Allowance have been issued on the same day as or before the filing date of this Information Disclosure Statement, please consider this a Petition under Rule 97(d) (ii), charge the petition fee to our Deposit Account No. 05-0558 and proceed to consider this Information Disclosure Statement under Rule 97(d).

In compliance with 37 C.F.R. § 1.98, Applicant is not required to provide a copy of any U.S. Patent or U.S. Patent Application publication listed in the accompanying form PTO-

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on August 29, 2006 with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria 223 13E1 450 190558

01930582

01 FC:1806

180.00 DA

DENYER et al. -- Appln. No.: 09/930,582

1449. However, Applicant will make available to the Examiner a copy of any document listed in

the attached PTO-1449 form not otherwise available.

In accordance with 37 C.F.R § 1.97 (g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no

This Information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

Consideration of the foregoing and enclosures plus the return of a copy of the herewith Form PTO-1449 with the Examiner's initials in the left column per M.P.E.P. § 609 along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,

Timothy Nathan

Reg. No.: 44,256

Tel. No.: (724) 387-4435

Respironics, Inc. 1010 Murry Ridge Lane Murrysville, PA 15668-8525

PTO/SB/08 I	Form (RESPIRONICS	_		Application No.	09/930,582	
	SEP 012	nne 5		Filing Date	August 15, 2001	
IN	RMATIC	alg no	CLOSURE	Inventor	DENYER et al.	
s [.]	TATEMENT	BY A	PPLICANT	Confirmation No.	3302	
	MADEMAR			Group Art Unit	3731	
Date	Date August 29, 2006		Examiner:	Mendoza, M.		
Sheet	1	of	2	Attorney Docket No:	00-41 C1 RCE 1	

	U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where				
Initials	No.	Number-Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear				
	Α	4,984,158	01-08-1991	Hillsman					
	В	5,020,527	06-04-1991	Dessertine					
	C	5,237,987	08-24-1993	Bailey					
	D	5,404,871	04-11-1995	Goodman et al.					
,	Е	5,437,270	08-01-1995	Braithwaite					
	F	5,452,711	09-26-1995	Gault	• • • • • • • • • • • • • • • • • • • •				
	G	5,483,953	01-16-1996	Cooper					
	Н	5,590,648	01-07-1997	Mitchell et al.					
	1	5,593,390	01-14-1997	Castellano et al.					
	J	5,551,416	09-03-1996	Stimpson et al.					
-	K	5,655,523	08-12-1997	Hodson et al.					
	L	5,657,748	08-19-1997	Braithwaite					
	М	5,685,291	11-11-1997	March					
	N	6,012,454	01-11-2000	Hodson et al.					
	0	6,082,544	07-04-2000	Romick					
	Р	6,085,740	07-11-2000	lvri et al.					

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	т			
Initials No.	Country Code-Number-Kind Code	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	·				
	. <u> </u>								

Examiner	Date	
Signature	Considered	
	• • • • • • • • • • • • • • • • • • • •	

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609. Draw a line through the citation if not in conformance and not considered. Per MPEP § 609, include a copy of this form with the next communication to the Applicant.

			SIP		
PTO/SB/08 F	Form (RESPIRONICS Ver		長	Application No.	09/930,582
	(32	SEF		Filing Date	August 15, 2001
IN	FORMATIO	DIS	CLOSURE	Inventor	DENYER et al.
S ⁻	TATEMENT B	VA	PPLICANT	Confirmation No.	3302
		-	EMARIT	Group Art Unit	3731
Date	August 29, 2006		-	Examiner:	Mendoza, M.
Sheet	2	of	2	Attorney Docket No:	00-41 C1 RCE 1

	U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where				
Initials	No.	Number-Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear				
	Q	6,158,431	12-12-2000	Poole					
	R	6,196,219	03-06-2001	Hess et al.					
	S	6,273,085	08-14-2001	Eisele et al.					
	Т	6,315,719	11-13-2001	Rode et al.					
- 1	U	6,318,361	11-20-2001	Sosiak					
	٧	6,328,699	12-11-2001	Eigler et al.					
	W	6,418,924	07-16-2002	Poley et al.					
	Х	6,421,650	07-16-2002	Goetz et al.					
	Υ	6,571,790	06-03-2003	Weinstein					
	'								

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	Т			
Initials	No.	Country Code-Number-Kind Code	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear				
		·							
		·							
	•								

	(· · ·	
Examiner	Date	
Signature	Considered	

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609. Draw a line through the citation if not in conformance and not considered. Per MPEP § 609, include a copy of this form with the next communication to the Applicant.